

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

DEMARCUS RALLS, ) No. C 10-4732 CRB (PR)  
Petitioner, )  
vs. ) ORDER DENYING  
ANTHONY HEDGPETH, Warden, ) PETITION FOR A WRIT OF  
Respondent. ) HABEAS CORPUS AND  
 ) DENYING CERTIFICATE OF  
 ) APPEALABILITY

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Petitioner seeks a writ of habeas corpus under 28 U.S.C. § 2254. In an order filed on February 17, 2011, the court found that his petition challenging a conviction and sentence from Alameda County Superior Court, when liberally construed, stated a cognizable claim under section 2254 and ordered respondent to show cause why a writ of habeas corpus should not be granted. Respondent has filed an answer to the order to show cause. Petitioner did not file a traverse. Having reviewed the papers and the underlying record, the court concludes that petitioner is not entitled to habeas corpus relief.

## STATEMENT OF THE CASE

On March 22, 2006, an Alameda County Superior Court jury convicted petitioner of what the California Court of Appeal described as “25 violent offenses stemming from an Oakland crime spree.” People v. Ralls, No. A115775, 2009 WL 1348208 at \*1 (Cal. App. 2009). The offenses included the

1 first degree murder of Keith Mackey Harris on December 27, 2002, and Jerry  
 2 Duckworth on December 27, 2002; and the second degree murder of Douglas  
 3 Ware on December 18, 2002. The jury found two of these murders were  
 4 accompanied by personal and intentional discharge of a firearm. The jury also  
 5 convicted petitioner of a third count of murder; two counts of attempted murder;  
 6 one count of kidnaping to commit robbery on January 6, 2003; five counts of  
 7 first degree robbery on December 18, 2002, and January 4, 2003, with personal  
 8 use of a firearm on all but one of them; two counts of second degree robbery on  
 9 January 4, 2003, with personal use of a firearm; and six counts of attempted  
 10 second degree robbery, while armed with a firearm, on November 27, 2002,  
 11 January 4, 2003, and January 6, 2003.

12 Petitioner was sentenced to life in prison without the possibility of parole,  
 13 several other indeterminate terms, and a determinate term of more than 140 years  
 14 in state prison. He unsuccessfully appealed his conviction and sentence to the  
 15 California Court of Appeal and the Supreme Court of California, which denied  
 16 review of a petition raising the same claims raised here.

17 **STATEMENT OF THE FACTS**

18 The California Court of Appeal summarized the facts of the case as  
 19 follows:

20 *A. The Crimes*

21 In October 2002, Joseph Mabry was shot and killed in  
 22 Oakland. A month later, on November 27, 2002, a group of Black  
 23 men robbed four Hispanic males at gunpoint on West Street. Three  
 of the victims were shot and injured.

24 On the night of December 18, 2002, three members of the  
 25 Leang family were robbed at their home on 29th Street by Black  
 men armed with handguns. Appellant Demarcus Ralls<sup>1</sup> later gave a  
 statement to Oakland police that he, his cousin Deonte Donald and

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28 <sup>1</sup> Ralls also went by the name of Marvin Barksdale.

1 a third man named Deshawn<sup>2</sup> had robbed several Asian people at a  
 2 house near 29th Street. They took games and a camcorder that  
 3 Donald and Deshawn sold for cash. During a lineup, two members  
 4 of the Leang family identified Ralls as one of the robbers.  
 5

6 Later that night, Douglas Ware was shot on Kirkham Court  
 7 near 10th Street and Mandela Parkway. He died as a result of a  
 8 gunshot to the back. A .45-caliber shell casing was found nearby.  
 9

10 Ralls later gave a statement to Oakland police, admitting that  
 11 earlier in the evening, he had been riding in the front passenger seat  
 12 of a car with Deshawn, Donald and another man in the back seat.  
 13 Leon Wiley—also known as Twan—was driving, looking for  
 14 someone named Nard. Wiley stopped to talk with a man at 10th  
 15 Street and Mandela Parkway, asking him to tell Nard that “[t]he  
 16 Nut Cases” were “looking for him.” If they found Nard, Ralls  
 17 believed that Nard would be killed. The occupants of the car left,<sup>3</sup>  
 18 returning to 10th Street later in the evening, still unable to find  
 19 Nard. Wiley suggested that they “get” some other people. Donald  
 20 and Deshawn were armed. Ralls got out of the front passenger seat  
 21 of the car to let Donald and Deshawn out of the back seat. As Ralls  
 22 expected that they would, Deshawn and then Donald began  
 23 shooting at a crowd of people. One man was hit.

24 On December 27, 2002, two carloads of men drove up to an  
 25 occupied apartment on Campbell Street and fired into it. Two  
 26 persons inside the apartment—Keith Mackey Harris and Jerry  
 27 Duckworth—died of multiple gunshot wounds. Michael Vassar  
 28 was injured by gunfire. Nineteen bullet holes were later found in  
 the apartment’s exterior metal gate. A wooden door behind that  
 metal gate showed many bullet holes and fragment marks. Twenty  
 shell casings from a .223-caliber assault rifle were found outside  
 the apartment. Three spent .38-caliber bullet slugs and a bullet  
 fragment were removed from inside the apartment. Bullet and  
 bullet fragments recovered from the bodies of Harris and  
 Duckworth were consistent with a .223-caliber weapon, not a .38-  
 caliber weapon.

29 Ralls later told police that Wiley called his brother Joe Ralls<sup>4</sup>  
 30 to say that he was having a problem with his neighbors.<sup>5</sup> Joe Ralls,  
 31 Ralls and Donald drove to meet Wiley. Ralls had a .38-caliber  
 32 pistol; his brother was armed with an assault rifle. Wiley pointed  
 33 out the house where the neighbors were living, saying that there

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24 <sup>2</sup> Apparently, Deshawn was never identified.

25 <sup>3</sup> At this point, the 29th Street residential robberies occurred.

26 <sup>4</sup> For convenience, this opinion refers to Demarcus Ralls as “Ralls.” To  
 27 distinguish between the appellant and his brother, we refer to Joe Ralls by his full name.

28 <sup>5</sup> A woman associated with Wiley lived on the same block.

were "ten niggers in there, and they got guns." Joe Ralls reminded Wiley that he had an assault rifle. When he told Wiley to go back into his own house because they were "about to spank these boys," Wiley left. The Ralls brothers approached the Campbell Street house with Donald, who knocked on the door. When someone answered, Donald asked if "you all got problems with my brother?" Donald stepped aside and Joe Ralls began shooting. Ralls joined in, firing off three rounds of his own. As Ralls later described it, they "handled business."

On January 4, 2003, two or three armed Black men attempted to rob a man on East 19th Street, completed robberies of two other men on Jordan Road, and robbed two more men in the garage of a Tulip Street house. When neighbors tried to thwart the attempted robbery on East 19th Street, one of the men shot into their home.

Two days later on January 6, 2003, three Black people kidnapped another man at gunpoint in an attempt to rob him on East 22nd Street. One of the kidnappers threatened to kill the victim. That same evening, Sunny Thach was shot and killed on 6th Avenue. The killer also shot at Thach's wife, Sylvia Tang. Later that evening, in two separate incidents—one occurring on Tremont Street near the Ashby BART station and the other on Grand Avenue—four people were robbed at gunpoint by a group of Black men. A fifth robbery by a group of Black men was also reported to have occurred that night near Ashby BART.

### *B. Pretrial Matters*

The investigation of this crime spree led Oakland police to suspect that Ralls, among others, was involved with these offenses. Police obtained a warrant to search a vehicle suspected of being involved in some of the robberies. The vehicle was registered to Ralls's [sic] sister-in-law, but Ralls had been associated with it. Among the weapons found in the trunk of this vehicle on January 10, 2003, was a loaded revolver that used .38- caliber special cartridges. A warrant issued for Ralls's arrest.

On January 22, 2003, Ralls was arrested by Sacramento police, returned to Oakland, and interviewed by Oakland police. After he waived his rights pursuant to Miranda v. Arizona (1966) 384 U.S. 436 (Miranda), Ralls told police that he was part of a gang called the Nutcases. When asked what the Nutcases do, Ralls responded "Kill people." Ralls told police that that was all the Nutcases did—kill people. They killed "just to be doing stuff" even if there was no money to be found. He admitted to the police that he was involved in the shootings of Ware, Duckworth, Harris and Vassar. He also told them that when he needed money, he used a .38 special to rob people.

In October 2003, the grand jury returned an indictment in this matter against the Ralls brothers, Donald, Wiley and four

1 others.<sup>6</sup> In all, the indictment alleged 39 counts including murder,  
 2 attempted murder and robbery. Ralls was charged with five counts  
 3 of murder, two counts of attempted murder, five counts of first  
 4 degree robbery, seven other robbery charges, six counts of  
 5 attempted robbery, and several other offenses. (§§ 187, subd. (a),  
 6 211, 246, 422; former §§ 209, subd. (b)(1), 245, subd. (a)(2), 664.)  
 Two special circumstances and numerous weapons enhancements  
 relating to him were also alleged. (§ 190.2, subd. (a)(3), (17)(A);  
 former §§ 12022, subd. (a)(1), 12022.5, subd. (a)(1), 12022.53,  
 subds. (b)-(d).) The prosecution intended to seek the death penalty  
 for his part in these crimes.

7 An amended indictment was filed later that month. In June  
 8 2004, Ralls's motion to set aside the entire indictment was denied.  
 The prosecution moved to sever Ralls's trial from that of his  
 9 cohorts. Most of them had made statements implicating each other  
 and the prosecutor sought to use those confessions as evidence at  
 trial. In March 2005, the motion to sever was granted.

10 In October 2005, another amended indictment against Ralls  
 11 was filed. This one charged him with 31 counts—five counts of  
 12 murder, two counts of attempted murder, five counts of first degree  
 13 robbery, seven counts of second degree robbery, six counts of  
 14 attempted robbery, two counts of assault with a firearm, two counts  
 15 of shooting at an inhabited dwelling and single counts of  
 16 kidnapping and making criminal threats. (§§ 187, subd. (a), 211,  
 17 246, 422; former §§ 209, subd. (b)(1), 245, subd. (a)(2), 664.) Most  
 18 of the charges included allegations that Ralls was armed with,  
 19 discharged or used a weapon in the commission of the underlying  
 offenses. The amended indictment also alleged three great bodily  
 injury allegations related to the Harris and Duckworth murder. It  
 appears that at least two of the others pled guilty to some charges  
 early in the proceedings. 6 charges and the Vassar attempted murder  
 charge. (Former §§ 12022, subd. (a)(1), 12022.5, subd. (a)(1),  
 12022.53, subds. (b)-(d).) It alleged three special circumstances  
 relating to two murder charges. (§ 190.2, subd. (a)(3), (15),  
 (17)(A).)

20 Before trial, Ralls moved to sever trial of the robberies and  
 21 the Mabry murder charge from trial of the other counts. The Mabry  
 22 murder charge was severed from Ralls's trial on the remaining 30  
 23 counts, but the remainder of his severance motion was denied. Two  
 arming enhancements related to the assault with firearm charges  
 were stricken at Ralls's request. His Miranda motion challenging  
 the voluntariness of his confession as involuntary was denied.

24 *C. Trial*

25 At trial, the jury heard Ralls's tape-recorded, postarrest  
 26 statement to police. His motion for acquittal of the charge of

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27 28 <sup>6</sup> It appears that at least two of the others pled guilty to some charges early in  
 the proceedings.

1 making criminal threats and one count of second degree robbery  
 2 was granted. (See § 1118.1.) The two counts of assault with a  
 3 firearm and a related count of shooting at an inhabited dwelling  
 were dismissed on the prosecution's motion. At the close of the  
 prosecution's case, Ralls called no witnesses.

4 During deliberations, a jury question about whether Ralls's  
 5 [sic] bullets had to have been found in the victims of the underlying  
 6 crimes in order to find true three related great bodily injury  
 7 allegations prompted the prosecution to move to strike these  
 8 allegations. (See former § 12022.53, subd. (d) [Stats. 2001, ch. 854,  
 § 60].) The trial court struck the three great bodily injury  
 enhancement allegations related to the Harris and Duckworth  
 murder charges and the Vassar attempted murder charge.

9 After nine days of deliberations, in March 2006, the jury  
 10 convicted Ralls of all 25 remaining charges—three counts of first  
 11 degree murder, one count of second degree murder, two counts of  
 12 attempted murder, five counts of first degree robbery, six counts of  
 13 second degree robbery, six counts of attempted robbery, and single  
 14 counts of kidnapping and shooting at an inhabited dwelling. (§§  
 15 187, subd. (a), 211, 246; former §§ 209, subd. (b)(1), 664.) The  
 16 jury found true six arming allegations and 15 firearm use  
 17 allegations. (Former §§ 12022, subd. (a)(1), 12022.5, subd. (a)(1),  
 18 12022.53, subds. (b)- (d).) Four other firearm use enhancement  
 19 allegations were found to be untrue. Two 7 special circumstances  
 20 were found to be true. (§§ 190.2, subd. (a)(3), (17)(A).) After a  
 separate penalty phase, in April 2006, the jury fixed the punishment  
 for Ralls's three first degree murder convictions at life  
 imprisonment without possibility of parole.

21 In July 2006, Ralls's motion for new trial challenging the  
 22 sufficiency of evidence supporting his conviction for the second  
 23 degree murder of Ware was denied. The remaining Mabry murder  
 24 charge was dismissed on the People's motion. Ralls was sentenced  
 25 to a determinate term of 141 years 4 months in state prison. He was  
 26 also given four indeterminate terms in prison—a term of life  
 27 imprisonment without possibility of parole, a term of 25 years to  
 life, and two terms of seven years to life.

28 Ralls, 2009 WL 1348208 at \*1-4 (footnotes in original but renumbered).

## DISCUSSION

### A. Standard of Review

24 This court may entertain a petition for a writ of habeas corpus “in behalf of  
 25 a person in custody pursuant to the judgment of a State court only on the ground  
 26 that he is in custody in violation of the Constitution or laws or treaties of the  
 27 United States.” 28 U.S.C. § 2254(a).

1           The writ may not be granted with respect to any claim that was adjudicated  
 2 on the merits in state court unless the state court's adjudication of the claim: "(1)  
 3 resulted in a decision that was contrary to, or involved an unreasonable  
 4 application of, clearly established Federal law, as determined by the Supreme  
 5 Court of the United States; or (2) resulted in a decision that was based on an  
 6 unreasonable determination of the facts in light of the evidence presented in the  
 7 State court proceeding." Id. § 2254(d).

8           "Under the 'contrary to' clause, a federal habeas court may grant the writ if  
 9 the state court arrives at a conclusion opposite to that reached by [the Supreme]  
 10 Court on a question of law or if the state court decides a case differently than [the  
 11 Supreme] Court has on a set of materially indistinguishable facts." Williams v.  
 12 Taylor, 529 U.S. 362, 412-13 (2000). "Under the 'unreasonable application'  
 13 clause, a federal habeas court may grant the writ if the state court identifies the  
 14 correct governing legal principle from [the Supreme] Court's decisions but  
 15 unreasonably applies that principle to the facts of the prisoner's case." Id. at 413.

16           "[A] federal habeas court may not issue the writ simply because that court  
 17 concludes in its independent judgment that the relevant state-court decision  
 18 applied clearly established federal law erroneously or incorrectly. Rather, that  
 19 application must also be unreasonable." Id. at 411. "[A] federal habeas court  
 20 making the 'unreasonable application' inquiry should ask whether the state  
 21 court's application of clearly established federal law was objectively  
 22 unreasonable." Id. at 409.

23           The only definitive source of clearly established federal law under 28  
 24 U.S.C. § 2254(d) is in the holdings (as opposed to the dicta) of the Supreme Court  
 25 as of the time of the state court decision. Id. at 412. Circuit law may be  
 26 "persuasive authority" for purposes of determining whether a state court decision  
 27 was unreasonable, but only the Supreme Court's holdings are binding on the state  
 28 courts, and only those holdings need be "reasonably" applied. Id.

1           **B. Claims & Analysis**

2           Petitioner raises three claims in the petition, all involving jury instructions.

3           For his first claim, Petitioner contends that the trial court erred in giving  
 4           jury instruction CALCRIM No. 220, which he contends “obscures the scope  
 5           given to individual subjectivity in that concept [of reasonable doubt] and fails to  
 6           convey the necessary impression of subjective certitude the evidence must induce  
 7           in the jurors in order to satisfy the due process requirement of proof beyond a  
 8           reasonable doubt.” Pet., attachment at 1.

9           For his second claim, Petitioner contends that the trial court erred in giving  
 10           CALCRIM Nos. 400 and 401. Id. The Court of Appeal characterized this  
 11           argument as being that CALCRIM Nos. 400 and 401 “fail to require that an aider  
 12           and abettor’s mens rea in homicide cases must be his or her own, separate from  
 13           that of the direct perpetrator.” Ralls, 2009 WL 1348208 at \*7.

14           For his third claim, Petitioner argues “[t]he two year consecutive sentences  
 15           imposed for first-degree robbery in counts 5, 6 and 7 are unauthorized and  
 16           unconstitutional, and must be reduced to 1 year and four months for each count.”  
 17           Pet., attachment at 1.

18           Petitioner phrases his claims in state law terms; to the extent they are state  
 19           law claims, they cannot be the basis for federal habeas relief. See Estelle v.  
 20           McGuire, 502 U.S. 62, 71-72 (1991). However, in light of petitioner’s pro se  
 21           status the Court liberally construes them as due process claims, as has the  
 22           Attorney General.

23           **1. Standard**

24           “Even if there is some ‘ambiguity, inconsistency or deficiency’ in [a jury]  
 25           instruction, such an error does not necessarily constitute a due process violation.”  
 26           Waddington v. Sarausad, 555 U.S. 179, 190 (2009) (quoting Middleton v.  
 27           McNeil, 541 U.S. 433, 437 (2004). “Rather, the defendant must show both that  
 28           the instruction was ambiguous and that there was “a reasonable likelihood” that

1 the jury applied the instruction in a way that relieved the State of its burden of  
 2 proving every element of the crime beyond a reasonable doubt." Id. at 190-91  
 3 (quoting Estelle, 502 U.S. at 72 [internal quotation marks and citation omitted]).

4 The pertinent question is whether the ailing instruction by itself so infected  
 5 the entire trial that the resulting conviction violates due process. See Estelle, 502  
 6 U.S. at 72; Cupp v. Naughten, 414 U.S. 141, 147 (1973); see also Donnelly v.  
 7 DeChristoforo, 416 U.S. 637, 643 (1974) ("[I]t must be established not merely  
 8 that the instruction is undesirable, erroneous or even "universally condemned,"  
 9 but that it violated some [constitutional right].").

10 The instruction may not be judged in artificial isolation, but must be  
 11 considered in the context of the instructions as a whole and the trial record.

12 Estelle, 502 U.S. at 72. In other words, the court must evaluate jury instructions  
 13 in the context of the overall charge to the jury as a component of the entire trial  
 14 process. United States v. Frady, 456 U.S. 152, 169 (1982) (citing Henderson v.  
 15 Kibbe, 431 U.S. 145, 154 (1977)); Prantil v. California, 843 F.2d 314, 317 (9th  
 16 Cir. 1988).

17 A determination that there is a reasonable likelihood that the jury has  
 18 applied the challenged instruction in a way that violates the Constitution  
 19 establishes only that an error has occurred, however. See Calderon v. Coleman,  
 20 525 U.S. 141, 146 (1998). A habeas petitioner is not entitled to relief unless the  
 21 instructional error "had substantial and injurious effect or influence in  
 22 determining the jury's verdict." Brecht v. Abrahamson, 507 U.S. 619, 637 (1993)  
 23 (quoting Kotteakos v. United States, 328 U.S. 750, 776 (1946)). In other words,  
 24 state prisoners seeking federal habeas relief are not entitled to habeas relief unless  
 25 the error resulted in "actual prejudice." Id. (citation omitted)

26 **2. Claim 1: Instruction on Reasonable Doubt**

27 The court of appeal addressed petitioner's first claim:

28 First, Ralls contends that the definition of proof beyond a  
 reasonable doubt in CALCRIM No. 220 improperly departs from

1 the definition of that term in section 1096. He argues that the jury  
 2 instruction obscures the scope given to individual subjectivity and  
 3 fails to convey the necessary impression of subjective certitude that  
 4 the evidence must induce in the jurors in order to satisfy the due  
 process requirement of proof beyond a reasonable doubt. Ralls  
 argues that because this error infected the jury's evaluation of his  
 guilt or innocence, it warrants reversal of all of his convictions.

5 Ralls, 2009 WL 1348208 at \*5. That is, the essence of this claim is that  
 6 CALCRIM 220 does not make clear enough that the certainty required is a  
 7 subjective one, an individual feeling rather than an objective probability.<sup>7</sup>

8 The court provided some background:

9 After Ralls filed his opening brief raising this argument, the  
 10 identical argument was soundly rejected by the Third Appellate  
 11 District in a case in which another defendant was represented by  
 12 Ralls's appellate counsel. (See People v. Zepeda (2008) 167 Cal.  
 13 App. 4th 25, 27-32 (Zepeda).) The Zepeda court rejected this  
 14 challenge to CALCRIM No. 220, publishing its decision "primarily  
 15 to deter the defense bar from continuing to use [this] line of attack  
 16 against CALCRIM No. 220" and instead, urged "defense counsel to  
 direct their resources to arguably meritorious grounds of appeal."  
 (Zepeda, supra, 167 Cal. App. 4th at p. 28.) In his appeal, Ralls  
 continues to press this claim of error in his reply brief, both because  
 he finds fault with the Zepeda court's analysis and because he  
 wishes to preserve the issue for further review. Having reviewed  
 the Zepeda decision, we find it to be clear and sensible. We  
 17 paraphrase major aspects of it, adopting its reasoning  
 as our own.

18 Proof beyond a reasonable doubt requires a subjective state  
 19 of near certainty about the accused's guilt. (Jackson v. Virginia  
 (1979) 443 U.S. 307, 315; Zepeda, supra, 167 Cal. App. 4th at pp.

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20     <sup>7</sup> The instruction read: "The fact that a criminal charge has been filed against  
 21 the defendant is not evidence that the charge is true. You must not be biased against the  
 22 defendant just because he has been arrested, charged with a crime, brought to trial, or is  
 23 in custody. [¶] A defendant in a criminal case is presumed to be innocent. This  
 24 presumption requires that the People prove each element of a crime and special  
 25 allegation beyond a reasonable doubt. Whenever I tell you the People must prove  
 26 something, I mean they must prove it beyond a reasonable doubt .[¶] Proof beyond a  
 27 reasonable doubt is proof that leaves you with an abiding conviction that the charge is  
 28 true. The evidence need not eliminate all possible doubt[,] because everything in life is  
 open to some possible or imaginary doubt. [¶] In deciding whether the People have  
 proved their case beyond a reasonable doubt, you must impartially compare and  
 consider all the evidence that was received throughout the entire trial and give it the  
 weight you think it deserves. Unless the evidence proves the defendant guilty beyond a  
 reasonable doubt, he is entitled to an acquittal and you must find him not guilty." Ralls,  
 2009 WL 1348208 at \*5.

1 28-29.) California law defines reasonable doubt, in part, as the state  
 2 of the case leaving the jurors' minds in such a condition that they  
 3 cannot say that they feel an abiding conviction that the charge is  
 4 true. (§ 1096; Zepeda, *supra*, 167 Cal. App. 4th at p. 29, fn. 2.)  
 5 Ralls reads the "abiding conviction" language of this statute to  
 6 require a subjective element that he finds lacking in CALCRIM No.  
 7 220. Instead, he reasons, that instruction improperly conveys the  
 8 impression that the standard of proof of reasonable doubt is merely  
 9 that of a very high degree of objective probability. (Zepeda, *supra*,  
 10 167 Cal. App. 4th at p. 29.)  
 11 . . . .

12 On appeal, Ralls asserts that the language of CALCRIM No.  
 13 220 fails to convey to the jury that the issue involves not only an  
 14 objective assessment of the evidence, but something that must also  
 15 be felt in a subjective manner. He reasons that a correct jury  
 16 instruction would explain that the evidence must not only convince  
 17 the jurors' minds, but also satisfy their consciences. He argues that  
 18 section 1096 requires this, but that the language of CALCRIM No.  
 19 220 does not.

20 Ralls also reasons that the "abiding conviction" language in  
 21 CALCRIM No. 220 does not save what he views as the  
 22 instruction's failure to set out the subjective element of reasonable  
 23 doubt because the phrase depends on the context in which it appears  
 24 for its meaning. By omitting the reference to the minds of the jurors  
 25 and how they feel, Ralls contends that CALCRIM No. 220 strips the  
 26 "abiding conviction" language of its power to convey to the jurors  
 27 that guilt must be felt subjectively, as well as reached objectively.  
 28 Thus, he concludes the instruction misstates the proof beyond a  
 reasonable doubt standard in such a manner that it violates his due  
 process rights. (See Zepeda, *supra*, 167 Cal. App. 4th at p. 30.)

18 Ralls, 2009 WL 1348208 at \*5-6.

19 In resolving this issue, the court of appeal followed the rule clearly  
 20 established by both federal and state law, that "[p]roof beyond a reasonable doubt  
 21 requires a subjective state of near certainty about the accused's guilt. (Jackson v.  
 22 Virginia (1979) 443 U.S. 307, 315; Zepeda, *supra*, 167 Cal.App.4th at pp.  
 23 28-29.)" Id. at \*5. But as the Attorney General points out, "the Constitution does  
 24 not require a court to define 'reasonable doubt' to a jury, nor if it does so, define it  
 25 in any particular manner or language." Resp't P. & A. at 11.

26 Describing Ralls' argument as "almost frivolous," the court of appeal  
 27 stated:  
 28

1       Although the “beyond a reasonable doubt” standard is a requirement  
 2       of due process, the Constitution neither prohibits trial courts from  
 3       defining reasonable doubt nor requires them to do so. So long as  
 4       the court instructs the jury on the necessity that the defendant’s guilt  
 5       be proven beyond a reasonable doubt, the Constitution does not  
 6       require that any particular words be used in explaining this to the  
 7       jury. It is sufficient if, taken as a whole, the instructions correctly  
 8       convey the concept of reasonable doubt to the jury. (Victor v.  
 9       Nebraska (1994) 511 U.S. 1, 5.) Thus, a trial court need not instruct  
 10      on reasonable doubt in a manner that defines any subjective  
 11      certitude required for a guilty verdict. (Zepeda, supra, 167  
 12      Cal.App.4th at p. 30.)

8       Ralls, 2009 WL 1348208 at \*6.

9       The court of appeal also dismissed Ralls’s argument as “mere semantics,”  
 10      because “[t]he phrase ‘abiding conviction’— even without being described as  
 11      being ‘felt’—adequately conveys the subjective state of certitude required by the  
 12      standard of proof. The term ‘abiding’ informs the juror that his or her conviction  
 13      of guilt must be more than a strong and convincing belief. Use of the term  
 14      ‘abiding’ tells the juror that his or her conviction must be of a lasting and  
 15      permanent nature, and it informs the juror how strongly and deeply that  
 16      conviction must be held.” Id.

17      The court explained:

18      Our state Supreme Court and the Courts of Appeal in every  
 19      appellate district have consistently rejected Ralls’s argument  
 20      relating to the “abiding conviction” language set out in the  
 21      predecessor standard jury instruction, CALJIC No. 2.90. [citations  
 22      omitted] Those rulings apply with equal force to the language of  
 23      CALCRIM No. 220. [citations omitted] The definition of  
 24      reasonable doubt in CALCRIM No. 220 derives from CALJIC No.  
 25      2.90, which was itself taken directly from the language of section  
 26      1096. When an instruction is given according to the terms of  
 27      section 1096, no further instruction defining reasonable doubt is  
 28      required. [citations omitted]

24      The “abiding conviction” language in the reasonable doubt  
 25      instruction conveys a requirement that the jury’s belief in the truth  
 26      of the charge must be long lasting and deeply felt. [citations  
 27      omitted] This is so whether the jury’s conviction is “held,” “felt,”  
 28      or had. One can hardly imagine a personal abiding conviction that  
 29      is not deeply felt in the sense that Ralls uses those words. Thus,  
 30      contrary to his contention, the phrase “abiding conviction” needs no  
 31      additional context or description to convey the depth of personal  
 32      conviction required to find guilt. (Zepeda, supra, 167 Cal. App. 4th

1 at p. 31.)

2 Beyond this, CALCRIM instructions go one step further in  
 3 informing jurors of the subjective nature of their convictions.  
 4 CALCRIM No. 220's language — "proof that leaves you with an  
 5 abiding conviction that the charge is true" — unmistakably conveys  
 6 the conviction's subjective nature and the very high level of  
 7 certainty required. In addition, CALCRIM No. 3550, also given to  
 8 the jury by the trial court, told the jurors that each "must decide the  
 9 case for yourself" and that they should not change their minds "just  
 10 because other jurors" disagree with them. We are satisfied that the  
 11 jury did not misunderstand these instructions in the manner that  
 12 Ralls suggests. (See Zepeda, supra, 167 Cal. App. 4th at p. 31.)

13 Id. at \*6-7.

14 The question here is whether a definition of beyond a reasonable doubt that  
 15 the state courts found to be correct under California law nevertheless is  
 16 constitutionally unacceptable. The answer is simple: In Victor v. Nebraska, 511  
 17 U.S. 1 (1994), the Court specifically stated that "[a]n instruction cast in terms of  
 18 an abiding conviction as to guilt, without reference to moral certainty, correctly  
 19 states the government's burden of proof." Id. at 14–15; accord Lisenbee v. Henry,  
 20 166 F.3d 997, 999-1000 (9th Cir. 1999) (use of term "abiding conviction" in  
 21 defining reasonable doubt is constitutionally sound). Under these circumstances,  
 22 the trial court's giving CALCRIM 220 could not possibly so infect the entire trial  
 23 that the resulting conviction violated due process. See Estelle v. McGuire, 502  
 24 U.S. at 72 (standard).

25 Because there was no due process error in giving the instruction, the state  
 26 Courts' decisions could not have been contrary to, or an unreasonable application  
 27 of, clearly established Federal law.

28 **3. Claim 2: Instruction on Aiding and Abetting**

29 Petitioner claims that he was erroneously convicted of murder and  
 30 attempted murder in counts eight, nine, ten, and eleven because the jury  
 31 instruction on aiding and abetting failed to make clear that only his mens rea, not  
 32 that of the direct perpetrator, was relevant. Pet., attachment at 1. As with the  
 33 previous claim, the court construes this claim as raising a due process argument.

### **a. Procedural Default**

Petitioner did not object to the instruction on aiding and abetting. The Attorney General correctly points out that his failure to object to the instructions is a bar to federal review.

The Ninth Circuit has recognized and applied the California contemporaneous objection rule in affirming denial of a federal petition on grounds of procedural default where counsel failed to object at trial. Inthavong v. Lamarque, 420 F.3d 1055, 1058 (9th Cir. 2005) (holding petitioner barred from challenging admission of evidence for failure to object at trial); Paulino v. Castro, 371 F.3d 1083, 1092–93 (9th Cir. 2004) (holding petitioner barred from challenging jury instruction for failure to object at trial); Vansickel v. White, 166 F.3d 953, 957–58 (9th Cir. 1999) (holding petitioner barred from challenging denial of peremptory challenges for failure to contemporaneously object). This claim is procedurally defaulted, and because petitioner has not shown cause and prejudice or a miscarriage of justice, see Coleman v. Thompson, 501 U.S. 722, 750 (1991), it is barred.

## b. Merits

The Court of Appeal also considered the merits of petitioner's claim, assuming arguendo that petitioner was entitled to review despite his failure to preserve error, either because any error might have affected Ralls' substantial rights (a California state law grounds for disregarding failure to preserve error) or because trial counsel might have been ineffective. Ralls, 2009 WL 1348208 at \*10.

The court of appeal set out the instructions that the trial court gave on aiding and abetting:

The trial court instructed the jury on aiding and abetting as follows: "A person may be guilty of a crime in two ways. One, he may have directly committed the crime. Two, he may have aided and abetted someone else, who committed the crime. In these instructions, I will call that other person the perpetrator. A person is

1                   equally guilty of the crime whether he committed it personally or  
 2                   aided and abetted the perpetrator who committed it. ¶ Under some  
 3                   specific circumstances, if the evidence establishes aiding and  
 4                   abetting of one crime, a person may also be found guilty of other  
 5                   crimes that occurred during the commission of the first crime.” (See  
 6                   CALCRIM No. 400 [Jan.2006 version].)

7                   The court continued: “To prove that the defendant is guilty of  
 8                   a crime based on aiding and abetting that crime, the People must  
 9                   prove that: ¶ 1. The perpetrator committed the crime; ¶ 2. The  
 10                  defendant knew that the perpetrator intended to commit the crime;  
 11                  ¶ 3. Before or during the commission of the crime, the defendant  
 12                  intended to aid and abet the perpetrator in committing the crime; and  
 13                  ¶ 4. The defendant's words or conduct did, in fact, aid and abet the  
 14                  perpetrator's commission of the crime. ¶ Someone aids and abets a  
 15                  crime if he knows of the perpetrator's unlawful purpose and he  
 16                  specifically intends to, and does, in fact, aid, facilitate, promote,  
 17                  encourage, or instigate the perpetrator's commission of that crime. ¶  
 18                  If all of these requirements are proved, the defendant does not need  
 19                  to actually have been present when the crime was committed to be  
 20                  guilty as an aider and abettor. If you conclude that the defendant was  
 21                  present at the scene of the crime or failed to prevent the crime, you  
 22                  may consider that fact in determining whether the defendant was an  
 23                  aider and abettor. However, the fact that a person is present at the  
 24                  scene of a crime or fails to prevent the crime does not, by itself,  
 25                  make him an aider and abettor.” (See CALCRIM No. 401.)

15                  *Id.* at \*9.

16                  The Court of Appeal found Ralls' challenge to CALCRIM Nos. 400 and  
 17                  401 “somewhat vague,” describing his argument as being that “the instructions  
 18                  allow a jury to impute the perpetrator's mental state to him, permitting the jury to  
 19                  convict him as an aider and abettor so long as it could find the perpetrator guilty of  
 20                  that offense.” *Id.* at \*12. Therefore, he reasons, “the challenged instructions do  
 21                  not require the jury to find that he personally possessed the mental states required  
 22                  for each of the charged crimes.” *Id.*

23                  In light of this argument the Court of Appeal considered what mental state  
 24                  was required of an aider and abettor. It held that a reasonable juror would not  
 25                  interpret the language of CALCRIM No. 400 as imposing the same requirements  
 26                  for a perpetrator and an aider and abettor, and that the “instructions properly  
 27                  required the jury to determine Ralls's guilt based on the combined acts of the  
 28                  participants and his own mens rea.” *Id.* at \*12 (emphasis in original). That is, as

1 with the previous claim, the court of appeal determined that the instructions as  
 2 given were correct under California law, and that holding is binding on this Court.  
 3 As also was the case with the previous claim, the court of appeal's determination  
 4 that the instruction was correct under California law is not dispositive as to the  
 5 constitutional claim, the only one at issue here.

6 The constitutional question is whether there is reasonable likelihood that the  
 7 jury applied the instruction in such a way as to read an element out of the offense.  
 8 See Estelle, 502 U.S. at 72. The court of appeals considered whether the jury was  
 9 likely to have convicted upon a misunderstanding of the instructions:

10 With regard to the Ware second degree murder conviction,  
 11 Ralls reasons that CALCRIM No. 401 improperly allowed the jury  
 12 to impute the malice aforethought of shooters Donald and Deshawn  
 13 to him. Citing his minimal physical contribution to the crime, he  
 14 reasons that the jurors were not asked to assess whether he acted  
 15 with malice aforethought. We disagree. Other instructions given  
 16 required that the jury focus on Ralls's malice aforethought before it  
 17 could find him guilty of the murder of Ware. (See CALCRIM No.  
 18 520.)

19 The prosecution argued that he had this mens rea. Ralls's  
 20 statement to police provided strong evidence of his own mental state.  
 21 Although Ralls did not shoot Ware, he knew that Donald and  
 22 Deshawn were armed and were offering to do the shooting. He knew  
 23 that they intended to shoot into a crowd of people before he let them  
 24 out of the back seat of the car. Ralls committed this act in order that  
 25 they might accomplish their goal. This evidence was sufficient to  
 26 satisfy the jury that Ralls himself knew and shared Donald and  
 27 Deshawn's murderous intent. (See McCoy, supra, 25 Cal.4th at p.  
 28 1118.) Although the prosecution argued that Ralls was guilty of first  
 degree murder, the jurors appear to have taken his mens rea and his  
 acts into account when rejecting that conclusion and convicting him  
 of only second degree murder. We are satisfied that there is no  
 likelihood that the jury misunderstood the aiding and abetting  
 instructions, considered in the context of all the instructions given.  
 (See People v. Cain, supra, 10 Cal. 4th at p. 36; People v. Ramos,  
supra, 163 Cal. App. 4th at p. 1088; see also Estelle v. McGuire,  
supra, 502 U.S. at p. 72.)

29 With regard to the Harris, Duckworth and Vassar completed  
 30 and attempted first degree murders, Ralls argues that CALCRIM No.  
 31 401 allowed the jury to improperly impute the mental states of  
 32 premeditation and/or deliberation from his brother Joe Ralls – the  
 33 shooter who actually caused these deaths and injuries – to him. He  
 34 reasons that there was insufficient evidence that he was privy to his

1 brother's intentions and that-by drawing his gun and firing<sup>8</sup>  
 2 at the door of the house-he did no more than to spontaneously follow  
 3 his brother's lead. Thus, he argues, there is a reasonable doubt about  
 4 whether he shared Joe Ralls's mental state with regard to these  
 5 attempted and completed murders.

6 We disagree with this self-serving view of the facts adduced  
 7 at trial. Ralls himself told police that he knew that his brother was  
 8 armed with an assault rifle when they went to the Campbell Street  
 9 house to "spank" the occupants of the house. Once Joe Ralls started  
 10 shooting, Ralls himself joined in, thus "handl[ing] their business." His  
 11 own words make it clear that Ralls was privy to what Joe Ralls  
 12 intended before the shooting began-that he knew and shared Joe  
 13 Ralls's intent to kill. (See McCoy, supra, 25 Cal. 4th at p. 1118.)  
 14 Ralls aided and abetted the commission of these attempted and  
 15 completed murders because he knew of Joe Ralls's unlawful purpose  
 16 and because he specifically intended to aid his brother in the  
 17 commission of these offenses.

18 CALCRIM No. 401 properly focused the jury's attention on  
 19 and held Ralls accountable for his own mens rea with regard to the  
 20 Campbell Street murders and attempted murder. (McCoy, supra, 25  
 21 Cal. 4th at pp. 1120, 1122.) The jury instructions given on murder  
 22 and attempted murder also made it clear that Ralls could not be  
 23 found guilty of these offenses without possessing his own mens rea.  
 24 (CALCRIM Nos. 520, 521, 540B, 600, 601.) The prosecution argued  
 25 that he had this mens rea. The jury's rejection of the prosecution's  
 26 plea for a first degree murder conviction on the Ware murder charge  
 27 demonstrates that the jurors did not simply rubber stamp the  
 28 prosecution's case, but carefully considered and applied the  
 29 instructions that they were given to the facts adduced at trial. There  
 30 is no likelihood that the jury misunderstood the trial court's  
 31 instructions, when considered as a whole. (See People v. Cain, supra,  
 32 10 Cal. 4th at p. 36; People v. Ramos, supra, 163 Cal. App. 4th at p.  
 33 1088; see also Estelle v. McGuire, supra, 502 U.S. at p. 72.) The trial  
 34 court did not err in giving CALCRIM Nos. 400 and 401 on aiding  
 35 and abetting.

36 Ralls, 2009 WL 1348208 at \*13-14.

37  
 38 <sup>8</sup> The jury found that Ralls personally and intentionally discharged a firearm  
 39 during the commission of these offenses. It also made similar findings related to his  
 40 conviction of the separate offense of shooting at the inhabited dwelling where the  
 41 injuries were sustained. (See § 246; former § 12022.53, subds. (b), (c).) Thus, it is clear  
 42 that the jury found that Ralls actually fired his weapon into the house. As the McCoy  
 43 court acknowledged, when multiple persons commit a crime together, it may not be  
 44 completely accurate to describe one as the perpetrator and the others as aiders and  
 45 abettors. When all actors shoot guns, even if only one is responsible for the fatal shot,  
 46 all actors serve partly as perpetrator and partly as aider and abettor. (See McCoy, supra,  
 47 25 Cal.4th at pp. 1120, 1122.) [footnote in original but renumbered.]

Given these facts, even if the instruction was ambiguous there is no reasonable likelihood that the jury applied the instruction in a way that violated the Constitution. See Estelle v. McGuire, 502 U.S. at 72 & n.4 (standard). There thus was no due process violation, and the state courts' rejection of this claim could not have been contrary to, or an unreasonable application of, clearly established Supreme Court authority.

**c. Claim Three: Length of Determinate Sentence**

Claim three is easily addressed. The court of appeals reversed on this point:

Thus, we conclude that Ralls is entitled to a two-year reduction in his determinate sentence. Accordingly, we reverse the judgment on counts 5, 6 and 7 and modify those sentences. (See In re Jonathan T., supra, 166 Cal.App.4th at p. 484.) We remand this matter to the trial court to issue a corrected abstract of judgment reflecting the correct statutory term of one year four months for each of these three counts.

The sentences on counts 5, 6 and 7 are reversed and modified in accordance with this opinion. The matter is remanded to the trial court for correction of the abstract of judgment on these counts.

Ralls, 2009 WL 1348208 at \*15. The claim presumably was included in petitioner's pro se petition because he was copying his claims from an appellate brief. He does not contend that the modification of his sentence was not made. This claim is without merit.

**CONCLUSION**

For the reasons set out above, the petition is DENIED.

A certificate of appealability is DENIED because petitioner has not demonstrated that "reasonable jurists would find the district court's assessment of the constitutional claims debatable or wrong." See Slack v. McDaniel, 529 U.S. 473, 484 (2000).

The clerk shall enter judgment in favor of respondent and close the file.  
SO ORDERED.

DATED: March 13, 2012

  
CHARLES R. BREYER  
United States District Judge

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